

Knowledge
Experience
Integrity



KENTUCKY
PAROLE BOARD



2011 Annual Report

Prepared by
Larry D. Chandler, Chairperson

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Message from the Chair

The Kentucky Parole Board (KY PB) is pleased to submit its Annual Report for 2011. As you are aware, the landmark HB 463 had dramatic impact on the Kentucky Criminal Justice System. The Kentucky Parole Board is happy to report that, under the leadership of former Chairman Verman Winburn, we completed all the tasks set for us in House Bill 463. In addition to those tasks, the Parole Board finalized the development of Parole Board Policies and Procedures which were reviewed and approved by the Legislative Research Commission. For the first time in the Board's history, there are guidelines in place that outline how the Parole Board conducts business. These policies are posted on our web site and have been widely distributed to all facets of the Criminal Justice agencies.

Although we are an autonomous agency, we are committed to partnering with other agencies to carry out our mission:

“The Kentucky Parole Board will make decisions that maintain a delicate balance between public safety, victim's rights, reintegration of the offender and recidivism.”

One of our most important partnerships is with the Kentucky Department of Corrections. The Kentucky Parole Board has strived to make the transition of the support staff from the Office of the Parole Board to the Division of Parole and Victim's Services smooth and productive while we look forward to the continual success of this partnership.

We realize that although we are each appointed for our individual strengths and experiences, we must function as a team to assure fairness, consistency and predictability. However our individual experiences or professional intuition may persuade our decisions, we know as a Board we must not overlook the research and evidence that is the driving force for House Bill 463.

The Kentucky Parole Board looks forward to the challenges set forth by House Bill 463 in the coming years and partnering with all the players in the Criminal Justice System to overcome all challenges.

A Word on Continuity from the Chair

I was appointed to the Board on April 2, 2009. Since my appointment I have seen 12 Parole Board members either not reappointed or not confirmed. Also I am the third Chair appointed during that same time span. We recognize that this is a critical time in the Commonwealth but this type of turnover is not conducive to our goals and negatively impacts the Criminal Justice System.

The Kentucky Parole Board is proposing a change in terms of office, (KRS 439.320) from four years to six years. The reasons are as follows;

1. To allow members, especially members with minimum experience in the Criminal Justice field, more time to acclimate and learn the nuances of the Kentucky Parole process.
2. To better preserve organizational memory of knowledge and experience gained with Correctional operations, Probation and Parole operations as well as Jail and Court operations.
3. Due to the very special nature of the operation, and the specialized training required, the six year terms would allow more time to expose members to available training; much of which is only available periodically and out of state, i.e. National Institute of Corrections, National Parole Resource Center, Association of Paroling Authorities International and Center for Effective Public Policy.
4. The national average term is just over five years, the surrounding states are:
 - a. Illinois 6
 - b. Indiana 4
 - c. Kentucky 4
 - d. Missouri 6
 - e. Ohio 6
 - f. Tennessee 6
 - g. Virginia 4
 - h. West Virginia 6

The current members of the Kentucky Parole Board believe this change will strengthen the board and will have a positive fiscal impact due to not having to train new members as often. But more importantly a six year term will provide members the necessary time to gain the critical experience to become familiar with the Kentucky Criminal Justice System and make informed decisions in this important process.

To confirm this belief, below is a reference from the recent PEW report:

PEW CENTER ON THE STATES Public Safety Performance Project

The High Cost, Low Return of Longer Prison Terms

In many states, year-to-year changes in parole policy, board membership, the board's release criteria, and type of inmates who come up for parole review can have a profound effect on grant rates, thereby driving time served up or down.

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901 E Street NW, 10th Floor 2005 Market Street, Suite 1700
Washington, DC 20004 Philadelphia, PA 19103*

Kentucky Parole Board Mission Statement

The Kentucky Parole Board will make decisions that maintain a delicate balance between public safety, victim's rights, reintegration of the offender and recidivism. We will achieve this important balance by application of our Core Values:

- Knowledge
- Experience
- Integrity

KRS 439.320 Summary: Member qualifications; Chair duties

Parole board; members' qualifications, terms, compensation; chairperson; administrative regulations; quorum;

- (1) The Governor shall appoint a Parole Board consisting of nine (9) full-time members to be confirmed by the Senate in accordance with KRS 11.160. The Governor shall make each appointment from a list of three (3) names given to him or her by the Kentucky State Corrections Commission. Each member appointed to the board shall have had at least five (5) years of actual experience in the field of penology, correction work, law enforcement, sociology, law, education, social work, medicine, or a combination thereof, or have served at least five (5) years previously on the Parole Board. No more than six (6) board members shall be of the same political party. The board shall be attached to the Justice and Public Safety Cabinet for administrative purposes only. The Department of Corrections shall provide any clerical, stenographic, administrative, and expert staff assistance the board deems necessary to carry out its duties.

- (2) The Governor shall designate one (1) member as chairperson of the board. The member designated as chairperson shall serve in that capacity at the pleasure of the Governor or until his or her term expires.

- (3) The members of the board shall give full time to the duties of their office and shall receive necessary traveling expenses and a salary to be determined pursuant to KRS 64.640(2), except the chairperson of the board shall receive additional compensation of one thousand dollars (\$1,000) per year for his or her services. Their terms of office shall be four (4) years and until their successors are appointed and have qualified. Their successors shall be appointed thereafter as provided in this section for terms of four (4) years, and a vacancy occurring before expiration of the term of office shall be similarly filled for the unexpired term.

- (4) The organization of the board shall be determined by the chairperson and shall be consistent with administrative regulations promulgated pursuant to KRS 439.340. For policy and procedural matters, five (5) members shall constitute a quorum. Parole and final parole revocation hearings may be done by panels of the board, subject to the following requirements:
 - (a) If a two (2) member panel is utilized, both members of the panel shall agree on the decision or the matter shall be referred to the full board;
 - (b) If a three (3) member panel is utilized, two (2) of the three (3) members of the panel shall agree on a decision or the matter shall be referred to the full board;
 - (c) If a panel of four (4) or more members is utilized, a majority of the panel shall agree on a decision or the matter shall be referred to the full board.

- (5) The Governor may not remove any member of the board except for disability, inefficiency, neglect of duty, or malfeasance in office. Before removal, he or she shall give the member a written copy of the charges against him or her and shall fix the time when he or she can be heard in his or her defense, which shall not be less than ten (10) days thereafter. Upon removal, the Governor shall file in the office of the Secretary of State a complete statement of all charges made against the member and the findings thereupon with a record of the proceedings.

Kentucky Parole Board Policy Index
Full Policies Available on the Kentucky Parole Board Web Page
<http://justice.ky.gov/parolebd/>

The Kentucky Parole Board adheres to the following internal policies and procedures for its administrative functions:

01-00 Administration

01-01 Procedures and Staffing

01-02 Planning and Coordination

01-03 Organization of the Parole Board

02-00 Fiscal Management

02-01 Travel Guidelines for the Parole Board

03-00 Personnel - Parole Board Members

03-01 Personnel - Parole Board Staff

04-00 Parole Board Member Training and Development

05-00 Management Information Systems

05-01 Research and Survey Projects

06-00 Records

07-00 Scheduling and Information

08-00 Physical Plant, Security, Safety and Emergency Procedures

The decisions of the Kentucky Parole Board are governed by the following public policies and procedures, which have been adopted in accordance with the requirements of the Kentucky Administrative Procedures Act, KRS Chapter 13A, and are incorporated by reference at 501 KAR 1:080:

10-00 Parole Board Hearing Process

10-01 Parole Release Hearings

11-00 Conditions of Parole

12-00 Discharge - Payment of Restitution

13-00 Revocation - Warrants and Determinations

13-01 Revocation - Preliminary Hearings

13-02 Revocation - Final Hearings

13-03 Revocation - Youthful Offenders

13-04 Revocation - Good Cause Hearing

14-00 Public and Legislative Relations

20-00 Mandatory Reentry Supervision Orders

21-00 Conditions of Mandatory Reentry Supervision

22-00 Final Discharge of Mandatory Reentry Supervision

23-00 Mandatory Reentry Supervision Revocation - Warrants

23-01 Mandatory Reentry Supervision Revocation - Preliminary Hearing

23-02 Mandatory Reentry Supervision Revocation - Final Hearing

30-00 Postincarceration Supervision Revocation - Warrants

30-01 Postincarceration Supervision Revocation - Preliminary Hearing

Kentucky Parole Board Members

Larry Chandler



Chairman (Term expires March 1, 2013)

Larry Chandler is a resident of Oldham County, where he retired after 26 years with the Kentucky Department of Corrections. He holds a bachelor's degree and master's degree in Justice Administration from the University of Louisville and a master's degree in Management from Brescia University in Owensboro. He is also a member of several professional organizations. Chandler was appointed to the Parole Board on April 2, 2009, and named its chairman effective December 21, 2011.

Verman Winburn



Parole Board Member (Term expires March 1, 2013)

Verman Winburn, a resident of Shelby County, Verman Ray Winburn is a graduate of Eastern Kentucky University with a B.S. in Police Administration and a minor in Corrections. He has served as a Corrections Officer, Probation and Parole Officer/District Supervisor and a member of the Kentucky Parole Board prior to retirement in August 2008.

Caroline Mudd



Parole Board Member (Term expires June 30, 2012)

A resident of Lebanon, Caroline Mudd retired as Warden from CCA/Marion Adjustment Center after a 20-year career in corrections. She is a graduate of Campbellsville University, where she received a Bachelor of Science degree with a major in History and a minor in Criminal Justice. She is active in her community and has served on various boards and committees, including Centre Square Committee and Marion County Public Library Board of Trustees.

Shannon Jones



Parole Board Member (Term expires June 30, 2014)

A resident of Lexington, Kentucky, Shannon Jones is a graduate of Eastern Kentucky University with a B.S. degree in Psychology. She is currently pursuing a M.S. degree in Criminal Justice. Ms. Jones has served as a Child/Adult Protection Investigative Supervisor as well as a Rapid Response Investigator for the Commonwealth of Kentucky. She has also served on multidisciplinary task force teams in Southeastern and Central Kentucky. Ms. Jones has conducted joint investigations and acted as a liaison for various agencies, such as the Lexington Police Department, Kentucky State Police, Commonwealth Attorney's Office, and the University of Kentucky Hospital. She was appointed to the Parole Board on July 1, 2010.

George Carson



Parole Board Member (Term expires July 15, 2014)

George A. Carson is a resident of Louisville, where he retired from Jefferson County Public Schools after 32 years of service. He holds a Bachelor of Arts degree in History and Political Science from Kentucky State University. He also holds a Masters degree in Education and Rank 1 Certification from the University of Louisville. He was appointed to the Parole Board July 15, 2010.

Sarah Johnson



Parole Board Member (Term expires June 30, 2015)

Sarah G. Johnson is a resident of Mt. Sterling, KY. She holds a BSW from Morehead State University and a Masters of Social Work with a mental health concentration from the University of Kentucky. Mrs. Johnson started her career as a mental health and substance abuse treatment provider. She spent the last five years working for the Department of Public Advocacy. In this position she worked with convicted felons to set up alternative sentencing plans that included treatment aimed at addressing the underlying problems that led to their criminal behavior. She was appointed to the Parole Board August 1, 2011.

Dwayne Depp



Parole Board Member (Term expires June 30, 2015)

Dwayne F. Depp is a former Captain with the Kentucky State Police. He served in numerous areas, including narcotics, general investigations, recruitment, legislative security and Commander of the Morehead Post. A resident of Frankfort, he holds a B.A in Criminal Justice from Kentucky State University. Immediately prior to joining the board he served as the Assistant Director of Kentucky's only statewide child abuse prevention agency, Prevent Child Abuse Kentucky. Mr. Depp has served on numerous boards and committees in the central Kentucky area. He was appointed to the Parole Board August 1, 2011.

Neeka Parks



Parole Board Member (Term expires July 15, 2014)

Neeka Parks is a resident of Jefferson County where she served as a licensed practicing attorney. Ms. Parks obtained her Bachelor of Arts in Political Science and her Juris Doctor from the University of Louisville. In addition, Parks completed a professional certification in Diversity Management from Cornell University and a Woodrow Wilson National Fellowship Foundation Fellowship in Public Policy at the University of Michigan. She was appointed to the Kentucky Parole Board on July 15, 2011.

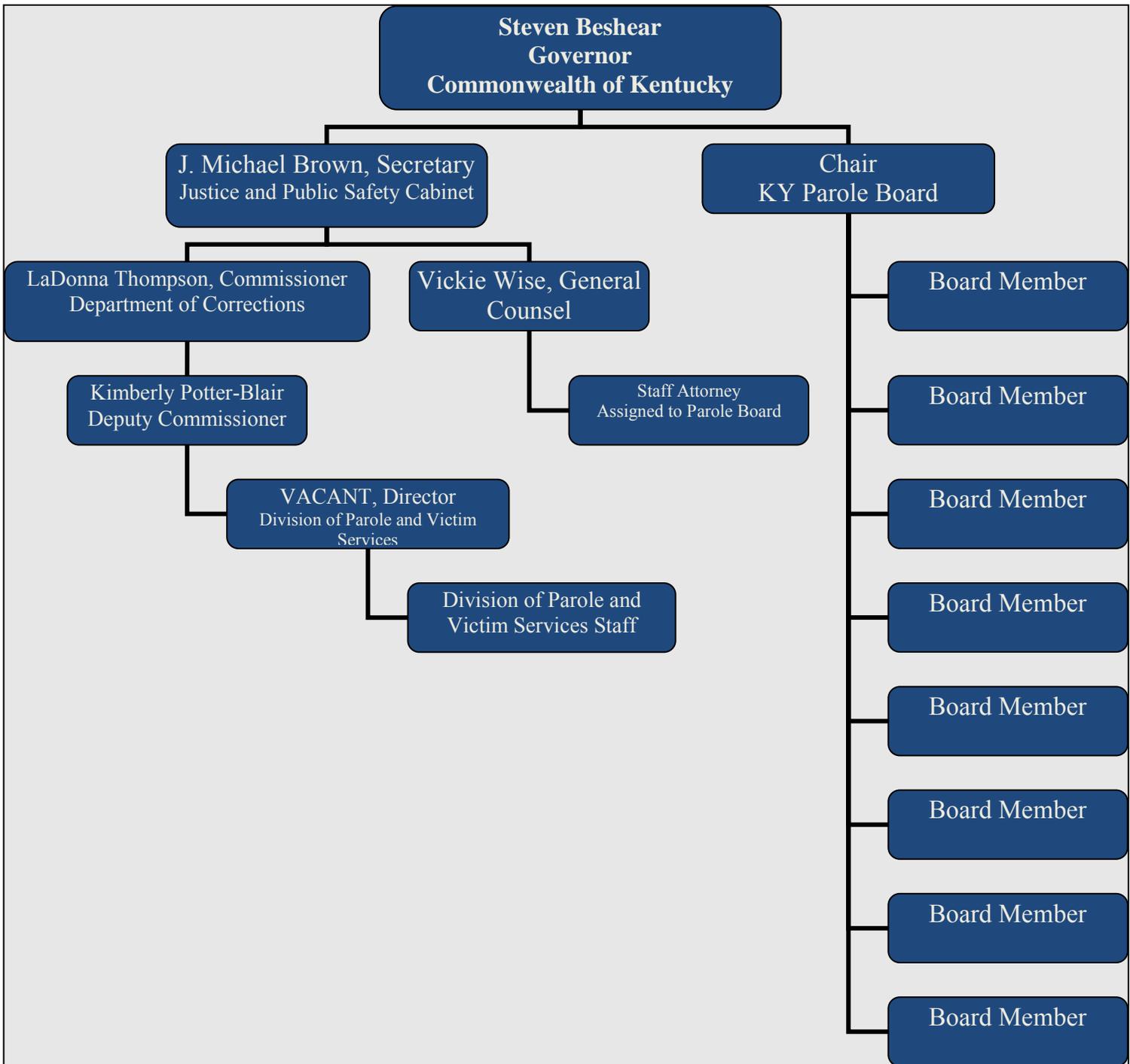
Amanda Spears



Parole Board Member (Term expires July 15, 2014)

Amanda Spears is from Somerset. A graduate of Berea College and Tulane Law School, Ms. Spears is a member of the Kentucky Bar Association and the Louisiana Bar Association. She previously served as a Senior Staff Attorney for the Kentucky Supreme Court. Ms. Spears was appointed to the Parole Board on August 1, 2011.

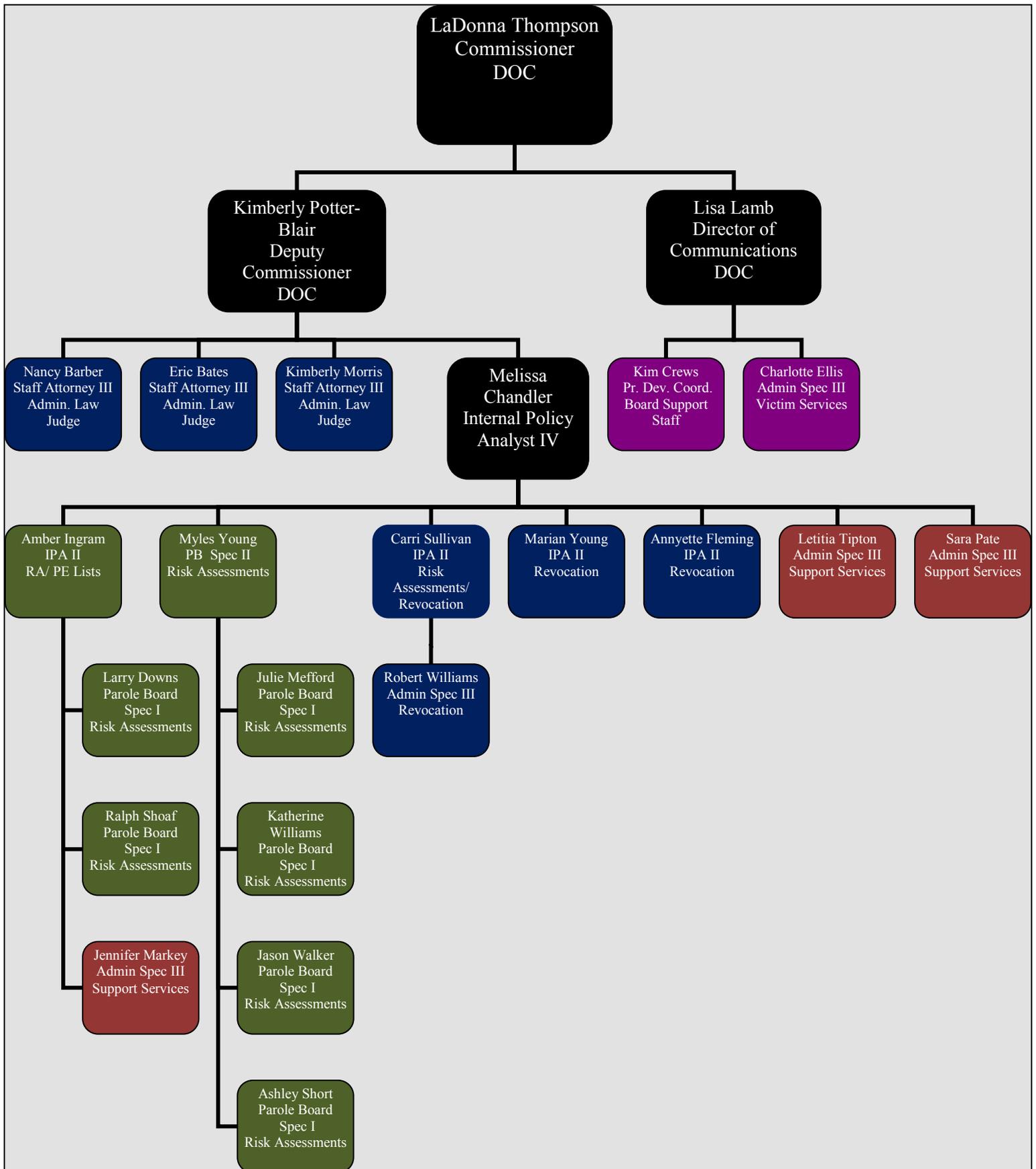
Kentucky Parole Board Organizational Chart



In August 2010, there was a reorganization creating the Division of Parole and Victim Services within the Department of Corrections. Therefore the staff that supports the Parole Board are now Department of Corrections staff and no longer part of the Office of the Parole Board within the Justice and Public Safety Cabinet.

Division of Parole and Victim Services Organizational Chart

as of October 2012



Training for the Kentucky Parole Board

Training for new members as well as training for experienced members is extremely critical in keeping the Kentucky Parole Board current on what is happening in the world of paroling authorities.

We have partnered with several national organizations to assure our members have access to professional, timely and competent training opportunities.

The National Institute of Corrections:

Orientation for Parole Board Members

This training program helps participants build competencies and skills in performing the tasks that matter most.

Whether newly elected or appointed, as a parole board member, you uniquely influence the political climate and inmate outcomes of your criminal justice system. It is vital to understand the nuances of your position and how your role affects key stakeholders throughout the state and the nation. This training program helps participants build competencies and skills in performing the tasks that matter most.

The course supplements independent field assignments with face-to-face classroom instruction and online training using WebEx technology. Its curriculum emphasizes evidence-based principles and practices, competency building, and improving decision making skills for interviewing, releasing, and working with returning offenders.

Four of our members have completed this training.

The Association of Paroling Authorities International (APAI)

The Annual Training Conference theme in San Antonio

Collaborations & Partnerships: The Keys to Success

All the Kentucky Parole Board members are also members of the APAI which hosts an annual training conference. All members of the Kentucky Parole Board attended the conference in 2010 and 2011.

The National Parole Resource Center (NPRC)

Recognizing the critical and growing importance of parole in assuring community safety, appropriate responses for victims, and responsible use of public resources, the Bureau of Justice Assistance has announced the funding of a National Parole Resource Center to help support and shape the future of parole as an increasingly effective stakeholder in the criminal justice system. The mission of the National Parole Resource Center (NPRC) is to serve as:

a source of information, training, and technical assistance for paroling authorities and the supervision agencies that they oversee and with whom they collaborate; a forum and source of support that will enable parole leadership to continue to define the evolving role of parole in a criminal justice system seeking to carry out their statutory mandates including the community protection, and the wise use of public resources; and a "portal" to other resources for parole, beyond those directly provided by the NPRC, by establishing collaborative partnerships with other public and private entities seeking to assist paroling authorities and agencies.

An initial strategic planning session brought together parole practitioners, other criminal justice leaders, and the research community to chart the course for the NPRC. For a summary of those recommendations

The NPRC will be hosting our Kentucky Parole Board Retreat at Butler State Park in September of 2012.

Kentucky Parole Board Annual Retreat

The Kentucky Parole Board invites speakers and presenters from the Criminal Justice Community to our annual retreat to keep us informed of current Parole Board best practices.

In 2011 we had among others, Dr. Edward Latessa from the University of Cincinnati and Dr. James Austin who developed the Kentucky Parole Board Risk Assessment.

In 2010 we had Dr. Robert Lawson from the University of Kentucky and Ray Larson, Commonwealth Attorney from Fayette County among others.

In 2012 we were fortunate to engage the Center for Effective Public Policy and the National Parole Resource Center to host our retreat.

*Kentucky Parole Board
In Collaboration with the National Parole Resource Center
Convenes a Board Retreat:
Building on Our Traditions; Moving Into the Future
September 13 – 14, 2012*

In-House Training

In addition to the formal training we invite guests to our Monday morning administrative meetings to present to the board any pertinent information regarding a function or process that would help us in making informed decisions in regards to the privilege of parole.

Some examples of presentations in 2011:

LS-CMI; what is it and what does it tell us
Case Management; its importance in managing inmates
Substance Abuse Program; an overview
Sex Offender Treatment Program; an overview
Classification in KY DOC; an overview

The Kentucky Parole Board also visited several institutions and programs to see first-hand how evidence based programs transpose theory into action.

Kentucky Inmate Management System (KOMS)

We continually train and orient members on the use of the critical and essential inmate record system. We have developed the template on the following page to assist board members in navigating the KOMS program.

Name L	Name F	#	Facility
Crimes		Non-violent	Violent
			Education
			Employ
<i>Record Card</i>			
Sentence length	Time Remaining	Complete	Home
Detainer(s)			Parole Discharge
			Age
Programs Recommended	Complete	Refuse Other	Enrolled
			RA
			Off. Sever
<i>Case notes</i>	<i>Scanned documents</i>		
Criminal History of :	Assaultive		LS_CMI
Juvenile	Substance Abuse		Overall Score
PSI	Sexual Offences		1. Criminal History
Disciplinary(s)		GTL	2. Education/Employment
			3. Family/Marital
			4. Leisure/Recreation
			5. Companions
<i>Case notes</i>	<i>Jail Reports</i>		6. Alcohol/Drug Problems
Release(s)		Time	7. Procriminal Attitude/Orientatio
			8. Antisocial Pattern
			Custody
<i>Supervision notes</i>	<i>Supervision Contacts</i>		RA
Prior Incarcerations			
<i>Inmate file jacket</i>	<i>PPPR</i>	<i>Off. Life Cycle</i>	
Parole Stipulations			
<i>Off. Stan. Form</i>			
Disinhibitors			
Substance Abuse PSI			
Financial	Restitution	Child support	
Sex Offender		SOTP/RA	
Other MH Med Disability (\$)			
Last Defer			
Notes:			
Victim's impact			Scanned
Consistent with prior PB decisions			Scanned
Community impact			Scanned
Family			Scanned
Case Management Plan			Scanned
Impact on correctional management			
Revised 6/12/12			
To complete, search in this order			
Pre-Parole Progress Report			
Record Card			
Risk Assessment			
PSI			
Offender Standard Form			
Scanned Documents			
<i>Other areas in italics on form</i>			
for further information			
Parole Discharge Plans			

Risk Assessments and the Kentucky Parole Board

Since 2003, the Kentucky Parole Board has used a risk assessment developed by Dr. James Austin. The instrument was validated for the Kentucky inmate population and revalidated in 2009 to prove its consistency and reliability.

How the Risk Instruments Are Developed:

- Reliability – consistency in the decision-making process
- Validity – are decisions related to risk based on a study of inmates released from prison to determine attributes of recidivists?
- Identify the most predictive items that are not redundant
- Develop scoring items, weights, scale and risk levels
- Simulate results on sample of released inmates

The Kentucky Risk Assessment measures five dynamic components and four static components of each inmate's history to make a reasonable prediction for the suitability of parole.

**STATE OF KENTUCKY
PAROLE GUIDELINES RISK AND NEEDS ASSESSMENT FORM**

Inmate Information		Gender	
Last Name: <input type="text"/>	Inmate #: <input type="text"/>	<input type="radio"/> Male <input type="radio"/> Female <input type="checkbox"/> CD	
First Name: <input type="text"/>	PED: <input type="text"/>	Parole Board Specialist Name: <input type="text"/> Date: <input type="text"/>	
Institution: <input type="text"/>	mm/yyyy		

<p>STATIC ITEMS POINTS</p> <p>1. Current Offense</p> <p><input type="radio"/> Theft/Burglary/Robbery <input type="radio"/> Other <input type="checkbox"/></p> <p><input type="radio"/> Life Taken/Assault/Sex Crimes/DUI</p> <p>Type of Theft/Burglary/Robbery Offense:</p> <div style="border: 1px solid black; height: 30px; width: 100%;"></div> <p><input type="checkbox"/> Life Taken <input type="checkbox"/> Assault <input type="checkbox"/> Sex Crimes <input type="checkbox"/> DUI</p> <p>2. Any Felony Revocations</p> <p><input type="radio"/> Yes <input type="radio"/> No <input type="checkbox"/></p> <p>Type: <input type="text"/> Date: <input type="text"/></p> <p>1 Desc: <input type="text"/></p> <p>Type: <input type="text"/> Date: <input type="text"/></p> <p>2 Desc: <input type="text"/></p> <p>Type: <input type="text"/> Date: <input type="text"/></p> <p>3 Desc: <input type="text"/></p> <p>Type: <input type="text"/> Date: <input type="text"/></p> <p>4 Desc: <input type="text"/></p> <p>Type: <input type="text"/> Date: <input type="text"/></p> <p>5 Desc: <input type="text"/></p> <p>Type: <input type="text"/> Date: <input type="text"/></p> <p>6 Desc: <input type="text"/></p> <p>3. Prior DOC Incarcerations</p> <p><input type="radio"/> One or More <input type="radio"/> None <input type="checkbox"/></p> <p>Desc: <input type="text"/></p> <p>4. High School Degree/GED or Attending School or Employed for at least 6 months prior to arrest</p> <p><input type="radio"/> None <input type="radio"/> 1 Condition Met <input type="radio"/> 2 Conditions Met <input type="checkbox"/></p> <p>Education: <input type="text"/> Date: <input type="text"/></p> <p>Employment: <input type="text"/> Source: <input type="text"/></p> <p>5. Marital Status at most recent DOC admission</p> <p><input type="radio"/> Single - Never Married <input type="radio"/> Other <input type="checkbox"/></p> <p style="text-align: right;">Total Static Score: <input type="text"/></p>	<p>DYNAMIC ITEMS POINTS</p> <p>6. Age at time of Parole Eligibility</p> <p><input type="radio"/> < 21 <input type="radio"/> 21 - 24 <input type="radio"/> 25 - 44 <input type="radio"/> > 44 <input type="checkbox"/></p> <p>Current Age: <input type="text"/></p> <p>7. Current Classification Level</p> <p><input type="radio"/> Community/Restricted <input type="radio"/> Medium <input type="checkbox"/></p> <p><input type="radio"/> Minimum <input type="radio"/> Close/Maximum</p> <p>Current Classification Level: <input type="text"/></p> <p>8. Completed Ed/Voc/Treatment Programs</p> <p><input type="radio"/> No <input type="radio"/> Yes <input type="checkbox"/></p> <p><input type="checkbox"/> ABE <input type="checkbox"/> Vocational Deg: <input type="text"/></p> <p><input type="checkbox"/> GED <input type="checkbox"/> PI Date: <input type="text"/></p> <p><input type="checkbox"/> College Deg <input type="checkbox"/> Other 1: <input type="text"/></p> <p><input type="checkbox"/> SAP <input type="checkbox"/> Other 2: <input type="text"/></p> <p><input type="checkbox"/> SOTP <input type="checkbox"/> Other 3: <input type="text"/></p> <p>Current or Other Programs:</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> <p>9. Most Severe Disciplinary Report in the last 2 years <i>(If in local jail, match with DOC category and list here.)</i></p> <p><input type="radio"/> Cat VI or VII <input type="checkbox"/></p> <p><input type="radio"/> Cat IV or V <input type="checkbox"/></p> <p><input type="radio"/> None/Cat III or Below <input type="checkbox"/></p> <p>Additional Comments:</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> <p style="text-align: right;">Total Dynamic Score: <input type="text"/></p>
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<p>Total Risk Assessment Score: <input type="text"/></p> <p>Overall Risk Level: <input type="text"/></p> <p>Offense Severity: <input type="text"/></p>	<p>Local Detention/Halfway House Facilities Case Plan Recommendations:</p> <p>Case Plan 1: <input type="text"/></p> <p>Case Plan 2: <input type="text"/></p> <p>Case Plan 3: <input type="text"/></p>
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Static:

Section 1 (Current Offense): 2, 1, or 0
 Section 2 (Revocations): 2 or 0
 Section 3 (Prior Incarcerations): 1 or 0
 Section 4 (Education/Work): 2, 1, or 0
 Section 5 (Marriage): 1 or 0

Dynamic:

Section 6 (Age): 3, 2, 1, or 0
 Sections 7 (Classification): 3, 2, 1 or 0
 Sections 8 (Programming): 2 or 0
 Section 9 (Disciplinary): 2, 1, or 0

Totals:

Level I: 0-6 points
 Level II: 7-9 points
 Level III: 10-12 points
 Level IV: 13+ points

Offense Severity Index:

Parole Board sets the offense severity on all crimes.

A Word Regarding Victims

The Kentucky Parole Board conducts victim's hearings every Monday. Board members listen to victims and families of victims, face to face, about how a crime has impacted their lives.

Currently, victims can send in letters or choose to come to Frankfort to personally address the Board members who are going to make a decision on a particular case.

We are going to expand our outreach to victims by allowing victims to use several media to get their story to us.

We are currently experimenting with using SKYPE for victims who are out of state or have a hardship that would prevent them from traveling to Frankfort. That media has worked well from the victims and the Boards perspective. We are also accepting DVD's from victims to personalize their message to the Board. We are also revising our web page to include an electronic form that will allow victims and victim's families to communicate with the Kentucky Parole board.

These are in addition to the letters and e-mails we receive from victims and victims' families every week.

While we want to give every victim a forum to communicate with the board, we want to move cautiously to avoid scheduling conflicts that would interfere with our ability to consider the approximately 1500 cases we review each month.

Presentations, Attendance and Participation

The Parole Board is passionate about educating others on the parole process and our policies and procedures. This promotes partnership, consistency and transparency.

A partial list of groups and organizations Kentucky Parole Board Members have presented to:

Organizations:

Commonwealth Attorneys Conference
Kentucky Department of Corrections Wardens
Kentucky Probation and Parole Supervisors
Victim's Advocacy Groups
Kentucky Department of Corrections Classification/Treatment Officer training

Groups:

Kentucky Bridges Program at RCC (Faith Based)
Kentucky Portal Programs (P&P)
Substance Abuse Program (Therapeutic Community at LLCC and RCC)
Louisville P&P districts (George Carson)
Kentucky Recovery Program in Morehead (Sarah Johnson)

All our members are encouraged to seek out and participate in informing other agencies and organizations about what we do as the Kentucky Parole Board.

Research Participation

The Kentucky Parole Board continues to be aware of the importance of research and shall continue to partner with Kentucky Universities to make the board accessible and encourage valid and useful research. The Board entered into a memorandum of agreement with the Department of Justice Administration, University of Louisville Research Foundation. The purpose is to establish a scope of work and agreement over data collection and access to allow Dr. Richard Tewksbury of the University of Louisville to conduct research as a fellow affiliated with the Kentucky Parole Board, as part of a National Institute of Justice (NIJ) grant project.

Short Term Goals

1. Accreditation by the American Correctional Association

With the adaption of our policies, we are pursuing accreditation by the American Correctional Association. We are currently in the first phase of that process and hope to be accredited by January 2013. The accreditation process will use the 128 standards developed by the Commission on Accreditation for Corrections titled: Standards for Adult Parole Authorities; Second Edition.

“These standards represent contemporary thought and judgment. They also set high levels of compliance for parole authorities pursuing accreditation. And they represent more than just a toll for accreditation. They are to be used by correctional administrators as guidelines for self-improvement and as a stimulus for change in parole authorities. The standards in the second edition demonstrate that corrections professionals have the capacity to incorporate changing views of the parole process based on new experience, knowledge, and expertise”.

Although we have not been previously accredited, the Kentucky Parole Board was requested by the Commission on Accreditation for input for revision of the second edition standards. Our accreditation will reflect our input into the 128 standards.

2. Continue to embrace the philosophy of House Bill 463

The Kentucky Parole Board is cognizant of the importance of this landmark legislation. We will continue to pursue the philosophies and critical components of House Bill 463 as it relates to the Kentucky Parole Board.

3. Continue to utilize and validate the Kentucky Parole Board Risk and Need Assessment tool

4. To continue to familiarize ourselves with the various assessment instruments used by the courts, Kentucky Department of Corrections and the Division of Probation and Parole

5. Continue to partner with all Criminal Justice agencies and organizations to help improve the Kentucky Criminal Justice System

- 6. To continue to train and participate in training to assure our members are exposed to the current research, empirical data and literature that impacts the Criminal Justice System in Kentucky**

- 7. To continue our autonomy, but be responsive, transparent and helpful to all the stakeholders in the Kentucky Criminal Justice System**

- 8. Update the Kentucky Parole Board web page to be more informative and user friendly**

- 9. Continue to use technology to improve the Kentucky Parole process in effectiveness and still be fiscally responsible**

Over the past three years we have reduced Kentucky Parole Board expenses by expanded use of video conferencing and by expanding the use of file reviews to Class C-non-violent/non-sexual felons.

- 10. Continue to strive to make the Kentucky Parole process consistent, predictable and transparent.**

Long Term Goals

- 1. Continue to advocate for the expansion of term limits from four to six years for the Kentucky Parole Board members.***
- 2. Continue to explore and seek out new training opportunities for the Kentucky Parole Board members.***
- 3. Continue to participate and present to organizations and the public in order to educate their membership about the Kentucky Parole process.***
- 4. Continue to maintain as well as develop new partnerships with other agencies and organizations regarding the Kentucky Parole process.***
- 5. Maintain accreditation by the American Correctional Association.***
- 6. Maintain affiliations with the academic world so that the Kentucky Parole Board is encouraging peer reviewed research in this vital area.***
- 7. Maintain and revise the Kentucky Parole Board policies as needed.***
- 8. Continue to monitor fiscal responsibility by the Kentucky Parole Board.***
- 9. Continue to monitor and embrace where possible new technologies can assist us with making the parole process more effective and cost effective.***

Facts and Statistics

**Kentucky Parole Board
FY 2011-12**

	Parole Recommendation	Defer	Serve Out	Total
Face to Face Hearings:				
Initial Cases Interviewed	1546	948	72	2566
Deferred Cases Interviewed	878	866	190	1934
File Reviews:				
Initial Cases Reviewed (file review)	4423	2038	1363	7824
Deferred Cases Reviewed (file review)	1295	916	538	2749
Other Hearings:				
	36	119	103	258
TOTAL: HEARINGS AND REVIEWS				
	8178	4887	2266	15331
Parole/Defer/Serve-out Rate				
Initial cases	57%	29%	14%	100%
Deferred cases	46%	38%	16%	100%
Hearings	54%	40%	6%	100%
Reviews	54%	28%	18%	100%
Declined Hearing	0%	0%	0%	0%

Parole/Defer/Serve-out Rate:

Parole Eligibility Cases	53%	32%	15%	100%
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Final Revocations Hearings (Parole, SOCD, MRS, PS):

	Reinstate Parole	Defer	Serve Out	
<i>Parole Violators</i>	361	1473	1768	3602
<i>Sex Offender Conditional Discharge</i>			146	146
<i>Mandatory Reentry Supervision</i>			223	223
<i>Post-Incarceration Supervision</i>			0	0
TOTAL: REVOCATIONS	361	1473	2137	3971

TOTALS: ALL HEARINGS AND REVIEWS

	8539	6360	4403	19302
Parole/Defer/Serve-Out Rate:				
All cases considered by the Parole Board	44%	33%	23%	100%

Revocation Actions:

Warrants Issued	4595			
		<u>ALJ Actions:</u>		
		PV Preliminary Hearings by ALJ's		933
		MRS Hearings:		46
		CSD Motions/Orders		561
Accepted Waivers		Interstate Cases:		68
for Preliminary Hearings	3475	SOCD:		177
		Total ALJ Actions		<u>1785</u>

**Kentucky Parole Board
FY 2011-12**

Other Parole Board Hearings/Actions:

Victim Hearings	223
Requests/Reviews: Reconsiderations	1093

Program and/or Treatment Parole Stipulations ordered by the Board

	Percentage of Parole Recommended	
<i>Must follow Therapeutic Community or SAP aftercare recommendations. Released upon successful completion of Therapeutic Community or SAP.</i>	5350	63%
<i>Must follow DOC Program and follow aftercare recommendations. Released upon successful completion of DOC program.</i>	3186	37%
<i>Must seek substance abuse evaluation while on parole supervision.</i>	72	1%

Parole Guidelines Risk and Need Assessment Data:

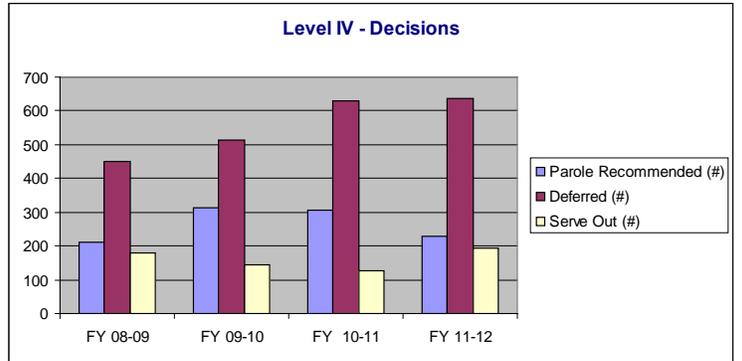
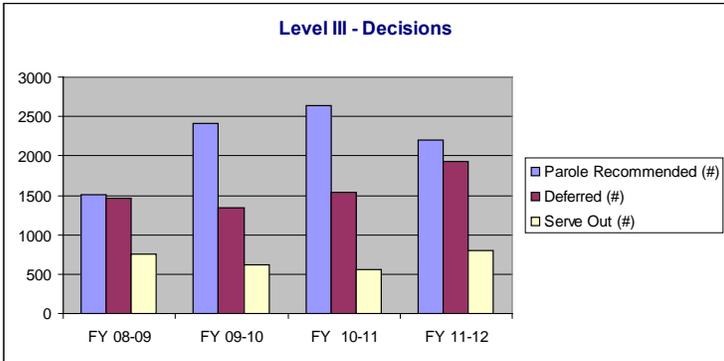
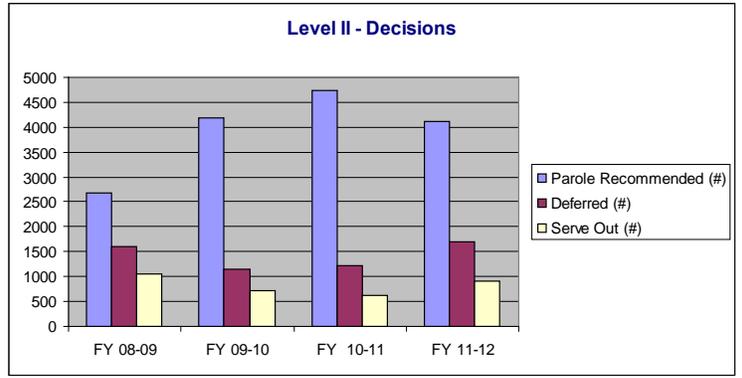
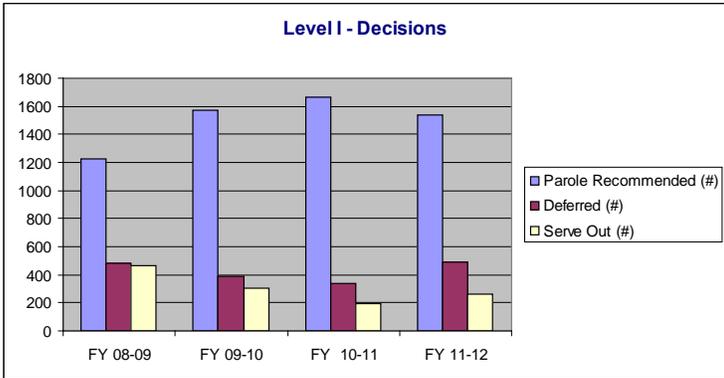
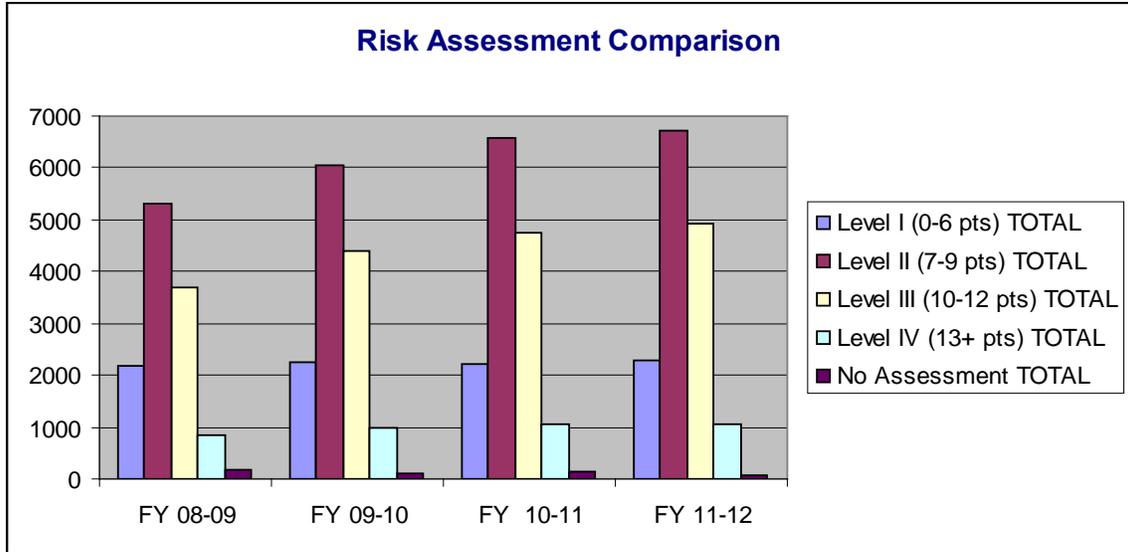
This assessment contains a risk level score (Level I- Level IV) and an Offense Severity Index (Low-Highest). The Offense Severity is kept current but there are some offenses that have to periodically be added, therefore the level can not be determined at time of assessment.

	Parole	Defer	Serve Out	Total	Parole Rate
Level I					
<i>Low</i>	251	23	34	308	81.49%
<i>Moderate</i>	284	50	64	398	71.36%
<i>High</i>	927	331	129	1387	66.83%
<i>Highest</i>	75	82	29	186	40.32%
<i>OS not determined</i>	2	3	2	7	28.57%
Level II					
<i>Low</i>	1454	300	303	2057	70.69%
<i>Moderate</i>	669	192	174	1035	64.64%
<i>High</i>	1892	1043	405	3340	56.65%
<i>Highest</i>	103	151	23	277	37.18%
<i>OS not determined</i>	8	7	1	16	50.00%
Level III					
<i>Low</i>	724	329	284	1337	54.15%
<i>Moderate</i>	277	181	156	614	45.11%
<i>High</i>	1093	1220	338	2651	41.23%
<i>Highest</i>	109	190	20	319	34.17%
<i>OS not determined</i>	1	7	1	9	11.11%
Level IV					
<i>Low</i>	34	46	50	130	26.15%
<i>Moderate</i>	37	57	28	122	30.33%
<i>High</i>	131	434	106	671	19.52%
<i>Highest</i>	25	100	9	134	18.66%
<i>OS not determined</i>	0	0	1	1	0.00%
<i>No Assessment Data</i>	47	22	6	75	62.67%
	8143	4768	2163	15074	54.02%

Kentucky Historical Overall Grant Rates;
Before and after the implementation of the Parole Guidelines Risk and Need Assessment in 2003

FY	Parole	Defer	Serve Out
2000	25%	36%	39%
2001	29%	37%	34%
2002	31%	40%	29%
2003	41%	33%	26%
2004	34%	30%	36%
2005	42%	30%	28%
2006	43%	37%	20%
2007	41%	37%	22%
2008	39%	35%	25%
2009	42%	33%	25%
2010	53%	28%	19%
2011	51%	29%	20%

Parole Eligibility Decisions By Risk Level	FY 08-09	FY 09-10	FY 10-11	FY 11-12
Level I (0-6 pts) TOTAL	2172	2264	2202	2286
Parole Recommended (#)	1226	1575	1669	1539
Parole Recommended (%)	56.45%	69.57%	75.79%	67.32%
Deferred (#)	483	386	338	489
Deferred (%)	22.24%	17.05%	15.35%	21.39%
Serve Out (#)	463	303	195	258
Serve Out (%)	21.32%	13.38%	8.86%	11.29%
Level II (7-9 pts) TOTAL	5326	6035	6571	6725
Parole Recommended (#)	2687	4190	4737	4126
Parole Recommended (%)	50.45%	69.43%	72.09%	61.35%
Deferred (#)	1596	1138	1217	1693
Deferred (%)	29.97%	18.86%	18.52%	25.17%
Serve Out (#)	1043	707	617	906
Serve Out (%)	19.58%	11.71%	9.39%	13.47%
Level III (10-12 pts) TOTAL	3708	4381	4739	4930
Parole Recommended (#)	1501	2418	2645	2204
Parole Recommended (%)	40.48%	55.19%	55.81%	44.71%
Deferred (#)	1460	1339	1531	1927
Deferred (%)	39.37%	30.56%	32.31%	39.09%
Serve Out (#)	747	624	563	799
Serve Out (%)	20.15%	14.24%	11.88%	16.21%
Level IV (13+ pts) TOTAL	842	971	1062	1058
Parole Recommended (#)	210	312	305	227
Parole Recommended (%)	24.94%	32.13%	28.72%	21.46%
Deferred (#)	451	515	630	637
Deferred (%)	53.56%	53.04%	59.32%	60.21%
Serve Out (#)	181	144	127	194
Serve Out (%)	21.50%	14.83%	11.96%	18.34%
No Assessment TOTAL	182	106	124	75
Parole Recommended (#)	63	47	63	47
Parole Recommended (%)	34.62%	44.34%	50.81%	62.67%
Deferred (#)	93	48	50	22
Deferred (%)	51.10%	45.28%	40.32%	29.33%
Serve Out (#)	26	11	11	6
Serve Out (%)	14.29%	10.38%	8.87%	8.00%



Informative Web Sites

Kentucky Parole Board <http://justice.ky.gov/parolebd/>

Kentucky Department of Corrections <http://corrections.ky.gov/>

National Institute of Corrections <http://nicic.gov/>

American Correctional Association www.aca.org/

Association for Paroling Authorities-International www.apaintl.org

National Parole Resource Center <http://nationalparoleresourcecenter.org/>

Center for Effective Public Policy <http://cepp.com/>

PEW Charitable Trust <http://www.pewtrusts.org/>

The Urban Institute <http://www.urban.org/>

The National Criminal Justice Reference Center <https://www.ncjrs.gov/>

The Bureau of Justice Statistics <http://bjs.ojp.usdoj.gov/>

List of Publications

National Institute of Corrections Publications

Core Competencies: A Resource for Parole Board Chairs, Members, and Executive Staff

ANNOTATION: New parole board members and parole executives should read this publication. It will introduce them to the core competencies they need to have to effectively execute their public responsibilities. Chapters cover: the broad context of parole work—parole’s function, purpose, and role in the criminal justice system, parole and other state or local entities, and legal and ethical issues; discharging duties effectively—leadership, strategic planning, emerging best practices and evidence-based practices, and collaboration; and individual case decision-making—tools that promote consistent outcomes for similar cases, parole hearings, interviews and file reviews, parole conditions that support the goals of the parole board or agency and evidence-based principles and practices, and violation decision-making.

Evidence-Based Policy, Practice and Decision-making: Implications for Paroling Authorities

ANNOTATION: New parole board members and parole executives should read this publication. It “examines information emerging from research on evidence-based practice and decision-making in parole and the implications of these findings for paroling authorities” (p. viii). Five chapters comprise this document: evidence-based policy, practice, and decision-making—what it is and why paroling authorities should be interested in it; significant research findings regarding risk reduction—implications for paroling authorities; reaching the full recidivism reduction potential—using a system wide approach to evidence-based decision-making; evaluating the research—how much evidence is enough; and the benefits of an evidence-based approach and recommendations for action—why pursue an evidence-based approach

The Future of Parole as a Key Partner in Assuring Public Safety

ANNOTATION: “This paper provides suggestions and examples about how these key decision-making functions of parole [which offenders participate in which programs, when, and for how long] can be shaped to target resources effectively according to the principles of risk, need, and responsivity” (p. viii). Sections of this publication include: introduction; historical context; the cusp of change; parole at the crossroads; resources to support parole’s new role; targets of

excellence in paroling authority decision-making; specific steps paroling authorities can take to enhance their ability to provide “targeting”; policy-driven parole decision-making—individual and team excellence; and conclusion.

Special Challenges Facing Parole

ANNOTATION: This guide is designed to “lay out the context, summarize the key issues, highlight the recent research, and provide suggestions about where to find more extensive and detailed resources” about special populations parole boards may have contact with (p. xiii). Seven chapters are contained in this publication: sex offenders; offenders who have significant mental health concerns; offenders who have significant substance abuse problems; women offenders; aging or geriatric offenders; youthful/juvenile offenders in the adult correctional system; and the challenges of housing for offenders released from prison.

Paroling Authorities’ Strategic Planning and Management for Results

ANNOTATION: This guide is designed to “lay out the context, summarize the key issues, highlight the recent research, and provide suggestions about where to find more extensive and detailed resources” about special populations parole boards may have contact with (p. xiii). Seven chapters are contained in this publication: sex offenders; offenders who have significant mental health concerns; offenders who have significant substance abuse problems; women offenders; aging or geriatric offenders; youthful/juvenile offenders in the adult correctional system; and the challenges of housing for offenders released from prison.

PEW CENTER ON THE STATES Public Safety Performance Project

Time Served: The High Cost, Low Return of Longer Prison Term